



**NATIONAL ADMINISTRATOR OF
EUROPEAN REGISTRY AND KP REGISTRY**

**AGREEMENT TO THE GENERAL TERMS TO OPEN AND ADMINISTER A
PERSON HOLDING ACCOUNT**

(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

**Please complete, date and sign this application form, we don't accept
electronical or scanned signatures.**

Enclose all required supporting documents (see pages 7 and 8).

**Send this original application form (including all pages) and
supporting documents by registered post with acknowledgment of
receipt to the following address:**

National Administrator of European Registry and KP Registry
Caisse des Dépôts – DPS – DFi- P0F400
12, Avenue Pierre Mendès France
75914 Paris CEDEX 13
FRANCE

If you have any queries you can contact the Registry Management Team:

- By Email: RegistreFrancais-GES@caissedesdepots.fr

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(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

- Application to open a Person Holding Account (PHA)
or
 Account modification n° FR-121- _____
or
 Account periodic review n° FR-121- _____

LEGAL REPRESENTATIVE

I, the undersigned, Mr. /Mrs...

Underlined fields are compulsory.

SURNAME: _____ **FORNAME:** _____
Date of birth: ____ / ____ / ____ **Place of birth:** _____
Position: _____ **e-mail:** _____
Phone number 1: _____ **Phone number 2:** _____

Representing the company:

Underlined fields are compulsory.

Company Name: _____
Company Registration Number: _____
Company Registered Address (including Country, State/Region and Post code): _____

European Intra-community VAT Number: _____
LEI (Legal Entity Identifier): _____

Is your company listed on the stock exchange? Yes No

- If not, please provide a complete description of your shareholding composition, signed by the legal representative. We need to clearly identify the company final Beneficial Owner.



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Request the opening (or modification) of a “person holding account” and agree with the General and Special Terms and Conditions for opening and administering a “person holding account” in the French Registry

- I certify that all information given in this Special Conditions is accurate
- I have read and understood the regulations applying to the European Union Registry and the Kyoto Protocol Registry, and agree to comply with those regulations
- I have read and understood all the provisions of the General Terms and Conditions for opening and administering a “*person holding account*” deriving from the standard agreements referred to in Article R. 229-35 of the French Environmental Code as approved by the Order of 28 December 2012 and published in the French Official Bulletin of the Ministry of Ecology 25 January 2013 as well as the present Special Terms and Conditions and I declare to accept them unreservedly.

Date: _____ / _____ / _____.

LEGAL REPRESENTATIVE ORIGINAL SIGNATURES

SURNAME, FORENAME	SIGNATURE 1	SIGNATURE 2
<p>-----</p> <p>-----</p> <p>Original signatures specimens (Two identical signatures) The signature must match with the signature on your ID card or Passport</p>	<p>Sign 1</p>	<p>Sign 2</p>



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or
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ACCOUNT INFORMATION

Name of the account: _____

Important:

- The destination account of a transfer must be in the Trusted Account List (transfers allowed only to a trusted account).
- All transfers must be initiated by an Authorised Representative (AR).
- if there is at least an Additional Authorised Representative (AAR) for the account, transfers must be validated/approved by an AAR (4 eyes principle)
- if there is no AAR linked to the account, no validation/approval from another AR is needed for a transfer.

INVOICE PERSON INFORMATION

(Compulsory)

Invoices are sent by e-mail.

SURNAME: _____ **FORNAME:** _____

Date of birth: ____/____/____ **Place of birth:** _____

E-mail (compulsory): _____

Professional address: _____

Phone number 1: _____ **Phone number 2:** _____



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AUTHORISED REPRESENTATIVES AND ADDITIONAL AR INFORMATION

The regulation requires at least two authorised representatives

All fields are compulsory

SURNAME: _____ FORNAME: _____

Date of birth: ____/____/____ Place of birth: _____

Professional address (including Country, State/Region and Post code): _____

Telephone number: _____ GSM number¹: _____

E-mail address² : _____

Role of the representative for this account, **tick only one checkbox**:

- Authorized Representative (AR):** can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. **If there is no AAR for the account**, the AR can also approve additions/removals of accounts to/from the trusted account list initiated by another AR. **If there is at least one AAR for the account**, the AR cannot approve additions/removals of accounts to/from the trusted account list.
- Additional Authorised Representative (AAR):** can approve a transaction initiated by an AR and approve additions/removals of accounts to/from the trusted account list initiated by an AR. (**can neither** initiate transactions **nor** initiate additions/removals of accounts to/from the trusted account list)
- Read Only Representative:** cannot initiate or approve transactions. Cannot initiate or approve additions/removals of accounts to/from the trusted account list.

If you replace an AR, please specify his/her name : : _____

Original signatures specimens (Two identical signatures) The signature must match with the signature on your ID card or Passport	Signature 1	Signature 2
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You can print several times this page, as many times as the number of Authorised Representative as you need for your account.

¹ One GSM number cannot be linked to 2 different representatives.

² One e-mail address cannot be linked to 2 different representatives.



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AUTHORISED REPRESENTATIVES AND ADDITIONAL AR INFORMATION

The regulation requires at least two authorised representatives

All fields are compulsory

SURNAME: _____ FORNAME: _____

Date of birth: ____/____/____ Place of birth: _____

Professional address (including Country, State/Region and Post code): _____

Telephone number: _____ GSM number¹: _____

E-mail address²: _____

Role of the representative for this account, tick only one checkbox:

Authorized Representative (AR): can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. **If there is no AAR for the account**, the AR can also approve a transaction initiated by another AR and approve additions/removals of accounts to/from the trusted account list initiated by another AR. **If there is at least one AAR for the account**, the AR can neither approve transactions nor approve additions/removals of accounts to/from the trusted account list.

Additional Authorised Representative (AAR): can approve a transaction initiated by an AR and approve additions/removals of accounts to/from the trusted account list initiated by an AR. (**can neither** initiate transactions **nor** initiate additions/removals of accounts to/from the trusted account list)

Read Only Representative: cannot initiate or approve transactions. Cannot initiate or approve additions/removals of accounts to/from the trusted account list.

If you replace an AR, please specify his/her name : : _____

<p>Original signatures specimens (Two identical signatures) The signature must match with the signature on your ID card or Passport</p>	<p>Signature 1</p>	<p>Signature 2</p>
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LIST OF DOCUMENTS REQUIRED

- **Note: - All documents must be dated less than 3 months, signatures must be original.**
- All documents submitted in a **language different from English or from French** must be translated by a sworn translator into English or into French.

1. If the account holder of the account is a legal person

<i>Documents required for the company</i>	Check
1. Proof of Existence of legal entity - France: KBIS Extract. – French certificate of incorporation - Original document required - Others countries: Notarized, legalized or certified true copy of foreign equivalent document (Ex : « Certificate of registration” or “Certificate of incorporation »)	<input type="checkbox"/>
2. BIC - SWIFT ID - Bank details of the corporation	<input type="checkbox"/>
3. Account holder’s liability to VAT : - France and EEA: VAT registration number, failing that, a certificate of quality non-taxable. - OECD and others: Certificate of status of taxable / non-VAT registered.	<input type="checkbox"/>
4. Shareholder – complete description of your shareholding composition. We need to find the company final beneficiary’s and to know if it is listed or regulated. Original document signed by the legal representative.	<input type="checkbox"/>
5. List of Directors of the legal entity – Original document signed by the legal representative	<input type="checkbox"/>
6. Account holder’s liability to AML-FT regulation: If your company is submitted to AML-FT regulation, please provide the Wolfsberg or the CDC-LAB form.	<input type="checkbox"/>
7. For an account opening request, please provide a letter signed by the legal representative explaining why your company needs to open a trading account in the French Registry.	<input type="checkbox"/>

<i>Documents required for the legal representative or empowered representative by the company</i>	Check
8. Notarized, legalized or certified true copy of a current valid National Identity Card or passport. (both sides and legible – NO auto-certification)	<input type="checkbox"/>
9. Power of Attorney The legal representative or empowered representative by the company must prove its legitimacy to bind the entity: Either the name is registered in the certificate of incorporation, in the Articles of association, or it has the necessary powers of attorney from the origin of a person designated in the certificate of incorporation or in the Articles of association. – Original or certified true copy document required	<input type="checkbox"/>



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Documents required for authorised representatives (AR) and AAR	Check			
	AR1	AR2	AAR1	AAR2
10. Notarized, legalized or certified true copy of a current valid National Identity Card or passport . (both sides and legible – NO auto-certification)				
11. Notarized, legalized or certified true copy of an evidence of personal domicile . For France we accept simple copies.				
12. Original extract of criminal record from residence country , delivered by the national competent Authority.				

Note: Please provide the same documents listed above for all AR, including the ones with “Read only” role

2. If the account holder is a natural person

Documents required for the natural person requesting the account opening.	Check
1. Notarized, legalized, or certified true copy of a current valid National Identity Card or passport (both sides and legible – NO auto-certification)	
2. BIC - SWIFT ID - A document proving that the person requesting the account opening has an open bank account in a Member State of the European Economic Area	
3. Notarized, legalized, or certified true copy of an evidence of personal residence .	
4. Original extract of criminal record from residence country , delivered by the national competent Authority.	
5. Account holder’s liability to VAT: <ul style="list-style-type: none"> - France and EEA: VAT registration number, failing that, a certificate of quality non-taxable. - OECD and others: Certificate of status of taxable / non-VAT registered. 	
6. Any item which might demonstrate that the holder has the necessary guarantees to meet the undertakings which the holder may make. Original required	



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GENERAL DATA PROTECTION REGULATION (GDPR)

The information collected is processed by Caisse des Dépôts et consignations, a financial institution which head office is located at 56 rue de Lille - 75007 PARIS (France), and which legal representative is its Chief Executive Director (referred below as "Caisse des Dépôts"), as Data Controller.

Caisse des Dépôts, acting as National Administrator of the registry of greenhouse gas (GHG) emission allowances appointed by the French State, has to collect the following categories of personal data: data related to the identification of the account holder and its representatives, data related to the professional life of the account holder and its representatives, economic and or financial data of the account holder and its representatives, data related to criminal convictions of the account holder and its representatives and connection data to the following internet platform : <https://unionregistry.ec.europa.eu/euregistry/FR/index.xhtml>.

The processing carried out on the basis of personal data collected by Caisse des Dépôts is only devoted to the opening and to the management of the GHG accounts. The French Environment code is the legal basis of this processing, particularly in its Articles L. 229-16 and R. 229-34.

The data collected will only be transmitted to authorised persons of/or by Caisse des Dépôts or to legally authorised third parties, including the European Commission. The information collected and identified as mandatory is essential to carry out the processing of your request.

In accordance with the regulations related to the protection of personal data, account representatives (legal representative and authorised representatives) have a right of access and rectification, a right to limitation as well as a right to send to Caisse des Dépôts special instructions relating to their personal data.

Pursuant to Article 78 of Regulation (EU) No. 2019/1122, personal data is stored for the duration and the provision provided by the European Regulation 2016/679, namely:

Personal data shall be removed from the records after five years of the closure of an account or after five years of the closure of business relationship, as defined in Article 3(13) of Directive (EU) 2015/849, with a natural person.

For the purposes of investigation, detection, prosecution, tax administration or enforcement, auditing and financial supervision of activities involving allowances, or of money laundering, terrorism financing, other serious crime or market abuse for which the accounts in the Union Registry (and French Registry) may be an instrument, or of breaches of Union or national law ensuring the functioning the EU ETS, personal data controlled by national administrators may be retained after the closure of the business relationship until the end of a period corresponding to the maximum prescription period of these offences laid down in the national law of the national administrator.

To assert their rights, account holders or their representatives can send an e-mail to the following address : mesdonneespersonnelles@caissedesdepots.fr or a post mail to : Caisse des Dépôts - Personal Data Officer- Etablissement de Bordeaux 5, rue du Vergne 33059 Bordeaux Cedex, France, and attach all necessary documents to justify their identity and their request.

For any additional information or difficulty related to the use of their data, account holders or their representatives can contact our Data Protection Officer (DPO) at the following address: dpo@caissedesdepots.fr. In case of an unresolved difficulty, they can contact the CNIL, the national body in charge of informing individuals of their rights accorded by the French Data Protection Act and protecting the rights related to personal data.