

Procedural Instructions

Requesting a Transaction Cancellation in the Event of Suspected Fraud

I. Scope:

This procedure is for accounts holders in the French part of the European Registry (Accounts EU -) and KP Registry (accounts FR -) and persons authorized to operate such accounts (hereinafter or "account (s) representative (s)").

It outlines the steps to be taken to request the National Administrator to cancel a transfer following suspected fraud.

This procedure does not apply to transfers executed from a trading account to an account belonging to its trusted account list because those transfers are not submitted to the 26 hours delay.

The account representative can only undertake this process when he suspects that a transfer has been initiated fraudulently.

These instructions must be followed in compliance with Article 39 of EC Regulation 389/2013 which states:

- a) that any transfer of quotas and Kyoto units, is executed from Monday to Friday, excluding French public holidays¹, between 10 am and 16 pm, Paris time;
- b) that any transfer confirmed outside these hours is launched the following French business day at 10 am, Paris time
- c) a period of 26 hours is observed between the launch of the transfer and the processing of its execution;²
- d) that this period is suspended from 00:00 to 24:00 on Saturdays, Sundays and during French public holidays.

Should an account representative suspect that a transfer has been initiated fraudulently, he or she may, within 24 hours following its launching, request the national Administrator to cancel this transfer.

Immediately after having made this request, the authorized representative of the account must report the suspicion of fraud to the national law-enforcement authorities (police services or public prosecutor).

¹ See annex, page 5

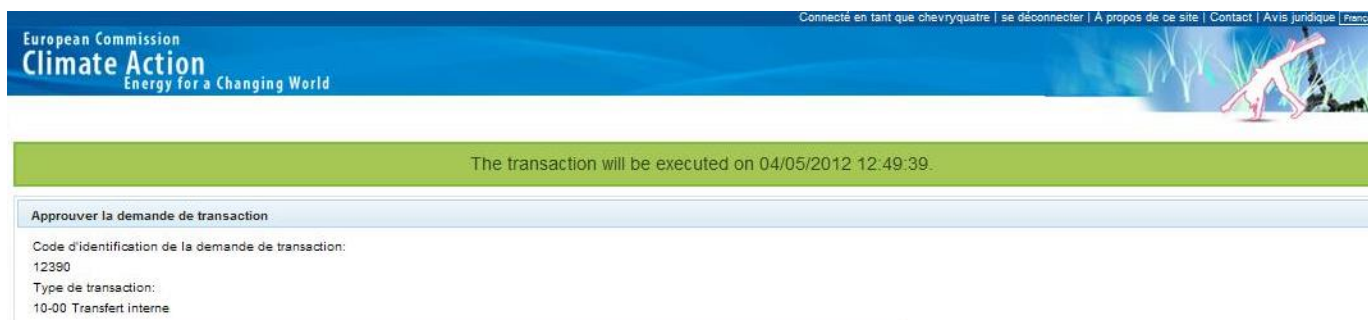
² Reminder: Transfers between a trading account and an account on its trusted list of accounts are out of the scope of this procedure.

Procedure

Stage ①: When a fraud is suspected, the account representative must request the National Administrator to cancel the transaction.

The **transaction execution time** shall be determined by the Union Registry system, following confirmation of the transaction.

See below for a screenshot showing an example of this type of transaction:



When a fraudulent transaction is suspected, the account representative shall contact the National Administrator as soon as possible:

REGISTRE FRANÇAIS - GES

CDC - DRS- DIC- POF400

Administrateur National du Registre Européen et du Registre PK

Tel: + (33) 1.58.50.87.00.

Fax: + (33) 1.58.50.01 87.

Email:

registrefrancais-ges@caissedesdepots.fr (Stationary installations and Non-Operator)

registre-aviation-fr@caissedesdepots.fr (Aircraft operator)

Stage ②: Making a formal request

1. The account representative can make a formal request for a cancellation via email or fax.
2. When making your formal transaction cancellation request, please use the model of letter shown on the next page.

Model of letter of request of cancellation of transfer because of suspicion of fraud

Re: "Request for transaction cancellation because of suspicion of fraud"

FAO: The National Administrator

I, the undersigned,
Surname:.....
First name:
date of birth: place of birth:
.....,
Legal representative / Authorised Person / Additional Authorised Person [company name]:
.....
.....
account holder norequest the cancellation of the
following transaction, following suspected fraud:
Transfer no..... carried out on (date/time).....
account no. to account no:..... for
a quantity of units

I promise to comply with the provisions of European Regulation (**EC 1193/2011** Article 89, Point 19) governing my request for my account in EU and my account in FR and to do the following:

- Immediately inform the police force or the public prosecutor_(notification of the relevant authorities)
- Send a copy of this notification to the national Administrator as soon as possible and in any case within 7 business days after the cancellation request

Signature / Date

- Please sign and date your letter.
If you send an email, please send us a copy of the signed and dated letter.
 - Mark your email as high priority.
 - You can call the National Administrator's Customer Care Centre (Centre de Relation Clients) to confirm that the request has been processed.
3. Where exceptional circumstances prevent the account representative from submitting a formal request via email or fax, he or she should make the request via a fixed line telephone.

The national Administrator will call back from a recorded telephone.

You should therefore call the Registry on +33 1 58 50 87 00 and give us a telephone number already registered in our database.

Stage ③: The account holder shall inform the national law-enforcement authorities of this suspected fraud immediately after having made this request (the police force or the public prosecutor).

Following formalization and validation of your request, the National Administrator proceeds to cancel the transaction if the time limits indicated in Article 39 of Regulation EU 389/2013 have been met.

The national Administrator will let you know the result of this cancellation request to the EC.

1. The account representative shall lodge a complaint with the police force or the public prosecutor immediately.
2. The account representative shall send a copy of the notification of complaint to the National Administrator within seven working days after the cancellation request

If you need help, please contact the National Administrator team on + 33 1 58 50 87 00 From Monday to Friday (on French business days) between the hours of 9:30 am to 12 am and 2 pm to 5:30pm, Paris time, or send an email to:

registrefrançais-ges@caissedesdepots.fr (*Stationary Installations and Non - operators*)

registre-aviation-fr@caissedesdepots.fr (*Aircraft Operators*)

Annex: French public holidays

The Registry is closed during 11 French public holidays.

List and dates of public holidays from 2019 to 2021:

French public holidays	2019	2020	2021
New Year's Day	Jan 1 st	Jan 1 st	Jan 1 st
Easter Monday	Apr 22 nd	Apr 13 th	Apr 5 th
Labour Day	May 1 st	May 1 st	May 1 st
V . E. D. (Victory in Europe Day)	May 8 th	May 8 th	May 8 th
Ascension Day	May 30 th	May 21 st	May 13 th
Whit Monday Pentecost	June 10 th	June 1 st	May 24 th
Bastille Day	July 14 th	July 14 th	July 14 th
Assumption Day	Aug 15 th	Aug 15 th	Aug 15 th
All Saints' Day	Nov 1 st	Nov 1 st	Nov 1 st
Armistice Day	Nov 11 th	Nov 11 th	Nov 11 th
Christmas Day	Dec 25 th	Dec 25 th	Dec 25 th