



**AGREEMENT TO THE GENERAL TERMS TO OPEN AND  
ADMINISTER A TRADING ACCOUNT**

(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

- **Please complete, date and sign this application form. We don't accept electronical or scanned signatures.**
- **Enclose all required supporting documents (see pages 7 and 8).**
- **Send this original application form (including all pages) and supporting documents by registered post with acknowledgment of receipt to the following address:**

**Caisse des Dépôts  
French GHG Registry  
DPS – DFi- P0F400  
12, Avenue Pierre Mendès France  
75013 Paris  
FRANCE**

If you have any queries you can contact the Registry Management Team by email:

[RegistreFrancais-GES@caissedesdepots.fr](mailto:RegistreFrancais-GES@caissedesdepots.fr)

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<sup>1</sup> Natural person appointed by the company to represent it and act in its name and on its behalf.

<sup>2</sup> Individuals authorized to act on the account. The legal representative may also be one of the authorized representatives.



NATIONAL ADMINISTRATOR OF EUROPEAN REGISTRY

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(Pursuant to the Order of 28 December 2012 approving the standard agreements mentioned in Article R. 229-35 of the Environmental Code)

- Application to open a trading account \_\_\_\_\_ or \_\_\_\_\_
- Account modification n° EU-100- \_\_\_\_\_ or \_\_\_\_\_
- Account periodic review n° EU-100- \_\_\_\_\_

**LEGAL REPRESENTATIVE**

(All fields are mandatory)

**I, the undersigned, Legal Representative,**

<b>SURNAME:</b> _____	<b>FORNAME:</b> _____
<b>Date of birth:</b> ____/____/____	<b>Place of birth:</b> _____
<b>Position:</b> _____	<b>Email:</b> _____
<b>Mobile number 1:</b> _____	<b>Phone number 2:</b> _____

**Representing the company:**

<b>Company Name:</b> _____
<b>Company Registration Number:</b> _____
<b>Company Registered Address</b> (including Country, State/Region and Post code): _____ _____ _____ _____
<b>European Intra-community VAT Number:</b> _____
<b>LEI</b> (Legal Entity Identifier): _____

Is your company listed on the stock exchange? <input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"><li>• If not, please provide a complete description of the shareholding or ownership structure, signed by the legal representative. The Ultimate Beneficial Owner of the company must be clearly identified.</li></ul>



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**Request the opening (or modification) of a “trading account” and agree with the General and Special Terms and Conditions for opening and administering a “trading account” in the European Union Registry**

- I certify that all information given in this Special Conditions is accurate.
- I have read and understood the regulations applying to the European Union Registry and agree to comply with those regulations.
- I have read and understood all the provisions of the General Terms and Conditions for opening and administering a “trading account” deriving from the standard agreements referred to in Article R. 229-35 of the French Environmental Code as approved by the Order of 28 December 2012 and published in the French Official Bulletin of the Ministry of Ecology 25 January 2013 as well as the present Special Terms and Conditions and I declare to accept them unreservedly.
- I acknowledge that I am aware of the frequency of the checks on good repute as part of the review provided for by the regulations (every 3 years for the trading account, see Article 22 of Regulation 2019/1122).

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

**LEGAL REPRESENTATIVE’S ORIGINAL SIGNATURES:**

SURNAME, FORENAME	SIGNATURE 1	SIGNATURE 2
-----  -----  Original signatures specimens (Two identical signatures) <span style="color: red; font-size: small;">The signature must match with the signature on your ID card or Passport</span>	Sign 1	Sign 2



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- Application to open a trading account
Account modification n° EU-100-
Account periodic review n° EU-100-

ACCOUNT INFORMATION

Account name:

Approval for transfers to trusted accounts, tick the box only if you want to change the default behaviour:

By default, transfers to trusted accounts need to be approved, by another Account Representative (AR) having the approver role, before its execution (4 eyes principle - 2 AR to perform a transaction). I would like to change this behaviour for the account mentioned above and allow the execution of transfers to trusted accounts to be performed at the initialization of the transfer (2 eyes principle - only one AR may perform a transfer to a trusted account).

INVOICING PERSON CONTACT INFORMATION

(Underlined fields are compulsory)

Invoices are sent by e-mail.

In the event of a change, please inform the registry immediately by email to: factures.registreGES@caissedesdepots.fr

SURNAME: FORNAME:

Date of birth: Place of birth:

Email:

Professional address:

Mobile number: Phone number:



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**AUTHORIZED REPRESENTATIVES INFORMATION**

(All fields are mandatory)

- Notice:** - the regulations require at least two authorized representatives (AR) per account;  
 - You can print and fill this page, as many times as you have ARs for this account.

**SURNAME:** \_\_\_\_\_ **FORNAME:** \_\_\_\_\_

**Date of birth:** \_\_\_\_/\_\_\_\_/\_\_\_\_ **Place of birth:** \_\_\_\_\_

**Professional address (including Country, State/Region and Post code):** \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**Mobile number:** \_\_\_\_\_ **Telephone number:** \_\_\_\_\_

**Notice:** one mobile number cannot be linked to 2 different Authorized Representatives.

**Email address:** \_\_\_\_\_

**Notice:** one email address cannot be linked to 2 different Authorized Representatives.

**Role of the Authorised Representative (AR) for this account (tick only one box):**

**Initiator:** can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list.

**Approver:** can approve a transaction initiated by another AR and approve an addition/removal of an account to/from the trusted account list initiated by another AR.

**Initiator/Approver:** can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. Can also approve a transaction initiated by another and approve an addition/removal of an account to/from the trusted account list initiated by another AR

**Read only:** cannot initiate nor approve transactions. Cannot initiate or approve an addition/removal of an account to/from the trusted account list.

**If you replace an AR, please specify his/her name:** \_\_\_\_\_

Original signatures specimens (Two identical signatures) The signature must match with the signature on your ID card or Passport	Signature 1	Signature 2
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**AUTHORIZED REPRESENTATIVES INFORMATION**

(All fields are mandatory)

- Notice:** - the regulations require at least two authorized representatives (AR) per account;  
 - You can print and fill this page, as many times as you have ARs for this account.

**SURNAME:** \_\_\_\_\_ **FORNAME:** \_\_\_\_\_

**Date of birth:** \_\_\_\_/\_\_\_\_/\_\_\_\_. **Place of birth:** \_\_\_\_\_

**Professional address (including Country, State/Region and Post code):** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Mobile number:** \_\_\_\_\_ **Telephone number:** \_\_\_\_\_

**Notice:** one mobile number cannot be linked to 2 different Authorized Representatives.

**Email address:** \_\_\_\_\_

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**Role of the Authorised Representative (AR) for this account (tick only one box):**

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**Initiator/Approver:** can initiate a transaction and initiate an addition/removal of an account to/from the trusted account list. Can also approve a transaction initiated by another and approve an addition/removal of an account to/from the trusted account list initiated by another AR

**Read only:** cannot initiate nor approve transactions. Cannot initiate or approve an addition/removal of an account to/from the trusted account list.

**If you replace an AR, please specify his/her name:** \_\_\_\_\_

<p style="text-align: center;">Original signatures specimens (Two identical signatures) <b>The signature must match with the signature on your ID card or Passport</b></p>	<p>Signature 1</p>	<p>Signature 2</p>
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**LIST OF DOCUMENTS REQUIRED**

**Notice: all documents must be dated less than 3 months prior to the date of application, handwritten signatures must be original.**

All documents submitted in a language different from English or from French must be translated by a sworn translator into English or into French.

**1. If the account holder of the trading account is a legal person**

<b>Documents required for <u>the company</u></b>	<b>Check</b>
<p><b>1. Proof of Existence of legal entity</b></p> <ul style="list-style-type: none"> <li>- <b>France: KBIS extract.:</b> French certificate of incorporation</li> <li>- <b>Other countries:</b> notarized, legalized, or certified true copy of foreign equivalent document (ex: « Certificate of registration » or « Certificate of incorporation »)</li> </ul>	
<p><b>2. BIC - SWIFT ID:</b> Bank details of the corporation</p>	
<p><b>3. Account holder's liability to VAT:</b></p> <ul style="list-style-type: none"> <li>- <b>France and EEA:</b> VAT registration number or <b>certificate of non-liability for VAT</b> if applicable.</li> <li>- <b>OECD and others:</b> VAT/TAX number or Certificate of <b>non-liability for VAT</b> or Certificate of compliance with Tax Services.</li> </ul>	
<p><b>4. Shareholder:</b> complete description of your shareholding structure. All owners, particularly the Ultimate Beneficial Owners (UBO) who own or control 25% or more of the legal entity need to be identified. <b>The document must be signed by the Legal Representative (original handwritten signature required).</b></p>	
<p><b>5. List of Directors</b> of the legal entity: document signed by the legal representative.</p>	
<p><b>6. Account holder's liability to AML-FT regulation:</b> If your company is submitted to AML-FT regulation, please provide the Wolfsberg form.</p>	
<p><b>7. For an account opening request, please provide a letter signed by the legal representative explaining why your company needs to open a trading account in the French Registry.</b></p>	

<b>Documents required for <u>the legal representative or empowered representative by the company</u></b>	<b>Check</b>
<p><b>1. Identity document currently valid:</b> notarized, legalized, or certified true copy of a current valid <b>National Identity Card or passport</b> (both sides and legible – <b>NO</b> auto-certification)</p>	
<p><b>2. Power of Attorney</b> The legal representative or empowered representative by the company must prove its legitimacy to bind the entity: either his name is registered in the certificate of incorporation, in the Articles of association, or he is empowered to act on the company's behalf by a person listed in the Certificate of incorporation or in the Articles of association. <b>Original or certified true copy document required.</b></p>	



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<b>Documents required for <u>authorized representatives</u> (AR)</b>	<b>Check</b>			
	<b>AR1</b>	<b>AR2</b>	<b>AR3</b>	<b>AR4</b>
<b>3. Identity document currently valid:</b> notarized, legalized, or certified true copy of a current valid <b>National Identity Card or passport</b> (both sides and legible – <b>NO</b> auto-certification).				
<b>4. Evidence of personal domicile:</b> notarized, legalized, or certified true copy. For France we accept simple copies.				
<b>5. Original extract of criminal record from residence country,</b> delivered by the national competent Authority.				

**Note:** Please provide the same documents listed above for all AR, including the ones with “Read only” role.

**2. If the account holder of the trading account is a natural person**

<b>Documents required for the natural person requesting the account opening.</b>	<b>Check</b>
<b>1. Identity document currently valid:</b> notarized, legalized, or certified true copy of a current valid <b>National Identity Card or passport</b> (both sides and legible – <b>NO</b> auto-certification)	
<b>2. BIC - SWIFT ID</b> - A document proving that the person requesting the account opening has an open bank account in a Member State of the European Economic Area	
<b>3. Evidence of personal domicile:</b> notarized, legalized, or certified true copy.	
<b>4. Original extract of criminal record from residence country</b> delivered by the national competent Authority.	
<b>5. Any item which might demonstrate that the holder has the necessary guarantees to meet the undertakings which the holder may make. Original document required.</b>	





## NATIONAL ADMINISTRATOR OF EUROPEAN REGISTRY

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## GENERAL DATA PROTECTION REGULATION (GDPR)

The information collected is processed by Caisse des Dépôts et consignations, a financial institution which head office is located at 56 rue de Lille - 75007 PARIS (France), and which legal representative is its Chief Executive Director (referred below as "Caisse des Dépôts"), as Data Controller.

Caisse des Dépôts, acting as National Administrator of the registry of greenhouse gas (GHG) emission allowances appointed by the French State, has to collect the following categories of personal data: data related to the identification of the account holder and its representatives, data related to the professional life of the account holder and its representatives, economic and or financial data of the account holder and its representatives, data related to criminal convictions of the account holder and its representatives and connection data to the following internet platform : <https://unionregistry.ec.europa.eu/euregistry/FR/index.xhtml>.

The processing carried out on the basis of personal data collected by Caisse des Dépôts is only devoted to the opening and to the management of the GHG accounts. The French Environment code is the legal basis of this processing, particularly in its Articles L. 229-16 and R. 229-34.

The data collected will only be transmitted to authorised persons of/or by Caisse des Dépôts or to legally authorised third parties, including the European Commission. The information collected and identified as mandatory is essential to carry out the processing of your request.

In accordance with the regulations related to the protection of personal data, account representatives (legal representative and authorised representatives) have a right of access and rectification, a right to limitation as well as a right to send to Caisse des Dépôts special instructions relating to their personal data.

Pursuant to Article 78 of Regulation (EU) No. 2019/1122, personal data is stored for the duration and the provision provided by the European Regulation 2016/679, namely:

Personal data shall be removed from the records after five years of the closure of an account or after five years of the closure of business relationship, as defined in Article 3(13) of Directive (EU) 2015/849, with a natural person.

For the purposes of investigation, detection, prosecution, tax administration or enforcement, auditing and financial supervision of activities involving allowances, or of money laundering, terrorism financing, other serious crime or market abuse for which the accounts in the Union Registry may be an instrument, or of breaches of Union or national law ensuring the functioning the EU ETS, personal data controlled by national administrators may be retained after the closure of the business relationship until the end of a period corresponding to the maximum prescription period of these offences laid down in the national law of the national administrator.

To assert their rights, account holders or their representatives can send an e-mail to the following address : [mesdonneespersonnelles@caissedesdepots.fr](mailto:mesdonneespersonnelles@caissedesdepots.fr) or a post mail to : Caisse des Dépôts - Personal Data Officer- Etablissement de Bordeaux 5, rue du Vergne 33059 Bordeaux Cedex, France, and attach all necessary documents to justify their identity and their request.

For any additional information or difficulty related to the use of their data, account holders or their representatives can contact our Data Protection Officer (DPO) at the following address: [dpo@caissedesdepots.fr](mailto:dpo@caissedesdepots.fr). In case of an unresolved difficulty, they can contact the CNIL, the national body in charge of informing individuals of their rights accorded by the French Data Protection Act and protecting the rights related to personal data.